
- Computing Element Choices:
  - Computing Element Programmability
  - Spatial vs. Temporal Computing
  - Main Processor Types/Applications
- General Purpose Processor Generations
- The Von Neumann Computer Model
- CPU Organization (Design)
- Recent Trends in Computer Design/performance
- Hierarchy of Computer Architecture
- Computer Architecture Vs. Computer Organization
- Review of Performance Evaluation Review from 350:
  - The CPU Performance Equation
  - Metrics of Computer Performance
  - MIPS Rating
  - MFLOPS Rating
  - Amdahl’s Law
- Instruction Set Architecture (ISA) Review from 350:
  - Definition and purpose
  - ISA Types and characteristics
  - CISC vs. RISC
- A RISC Instruction Set Example: MIPS64
- The Role of Compilers in Performance Optimization

4th Edition: Chapter 1, Appendix B (ISA)
3rd Edition: Chapters 1 and 2
Computing Element Choices

- **General Purpose Processors (GPPs):** Intended for general purpose computing (desktops, servers, clusters..)
- **Application-Specific Processors (ASPs):** Processors with ISAs and architectural features tailored towards specific application domains
  - E.g Digital Signal Processors (DSPs), Network Processors (NPs), Media Processors, Graphics Processing Units (GPUs), Vector Processors???
- **Co-Processors:** A hardware (hardwired) implementation of specific algorithms with limited programming interface (augment GPPs or ASPs)
- **Configurable Hardware:**
  - Field Programmable Gate Arrays (FPGAs)
  - Configurable array of simple processing elements
- **Application Specific Integrated Circuits (ASICs):** A custom VLSI hardware solution for a specific computational task
- The choice of one or more depends on a number of factors including:
  - Type and complexity of computational algorithm (general purpose vs. Specialized)
  - Desired level of flexibility/programmability
  - Development cost/time
  - Power requirements
  - Performance requirements
  - System cost
  - Real-time constrains

The main goal of this course is to study recent architectural design techniques in high-performance GPPs
Computing Element Choices

The main goal of this course is the study of recent architectural design techniques in high-performance GPPs

Selection Factors:
- Type and complexity of computational algorithms (general purpose vs. Specialized)
- Desired level of flexibility
- Development cost
- Power requirements
- Performance
- System cost
- Real-time constrains

General Purpose Processors (GPPs):
Application-Specific Processors (ASPs)
Configurable Hardware
Co-processors

Processor = Programmable computing element that runs programs written using a pre-defined set of instructions (ISA)

ISA Requirements → Processor Design

Specialization, Development cost/time, Performance/Chip Area/Watt (Computational Efficiency)

Software ↔ Hardware

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Computing Element Programmability

(Hardware) Fixed Function:

- Computes one function (e.g. FP-multiply, divider, DCT)
- Function defined at fabrication time
- e.g. hardware (ASICs)

(Processor) Programmable:

- Computes “any” computable function (e.g. Processors)
- Function defined after fabrication
- Instruction Set (ISA)

Parameterizable Hardware:
Performs limited “set” of functions

e.g. Co-Processors

Processor = Programmable computing element that runs programs written using pre-defined instructions (ISA)
Computing Element Choices:

Spatial vs. Temporal Computing

Spatial
(using hardware)

Temporal
(using software/program running on a processor)

Processor = Programmable computing element that runs programs written using a pre-defined set of instructions (ISA)

ISA Requirements → Processor Design

Spatial Computing Element Choices:

Space vs. Time Tradeoff

Processor Instructions (ISA)

$\begin{align*}
    t_1 &\leftarrow x \\
    t_2 &\leftarrow A \times t_1 \\
    t_2 &\leftarrow t_2 + B \\
    t_2 &\leftarrow t_2 \times t_1 \\
    y &\leftarrow t_2 + C
\end{align*}$

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Main Processor Types/Applications

- **General Purpose Processors (GPPs)** - high performance.
  - RISC or CISC: Intel P4, IBM Power4, SPARC, PowerPC, MIPS ...
  - Used for general purpose software
  - Heavy weight OS - Windows, UNIX
  - Workstations, Desktops (PC’s), Clusters

- **Embedded processors and processor cores**
  - e.g: Intel XScale, ARM, 486SX, Hitachi SH7000, NEC V800...
  - Often require Digital signal processing (DSP) support or other application-specific support (e.g. network, media processing)
  - Single program
  - Lightweight, often realtime OS or no OS
  - Examples: Cellular phones, consumer electronics .. (e.g. CD players)

- **Microcontrollers**
  - Extremely cost/power sensitive
  - Single program
  - Small word size - 8 bit common
  - Highest volume processors by far
  - Examples: Control systems, Automobiles, toasters, thermostats, ...

Examples of Application-Specific Processors (ASPs)
The Processor Design Space

Application specific architectures for performance

Embedded processors

Microprocessors

GPPs

Performance is everything & Software rules

Microcontrollers

Cost is everything

Real-time constraints
Specialized applications
Low power/cost constraints

The main goal of this course is the study of recent architectural design techniques in high-performance GPPs

Processor = Programmable computing element that runs programs written using a pre-defined set of instructions (ISA)
General Purpose Processor Generations

Classified according to implementation technology:

• **The First Generation, 1946-59**: Vacuum Tubes, Relays, Mercury Delay Lines:
  – First stored program computer: EDSAC (Electronic Delay Storage Automatic Calculator), 1949.

• **The Second Generation, 1959-64**: Discrete Transistors.
  – e.g. IBM Main frames

• **The Third Generation, 1964-75**: Small and Medium-Scale Integrated (MSI) Circuits.
  – e.g. Main frames (IBM 360), mini computers (DEC PDP-8, PDP-11).

• **The Fourth Generation, 1975-Present**: The Microcomputer. VLSI-based Microprocessors.
  – First microprocessor: Intel's 4-bit 4004 (2300 transistors), 1970.
  – Personal Computer (PCs), laptops, PDAs, servers, clusters …
  – Reduced Instruction Set Computer (RISC) 1984

Common factor among all generations:
All target the Von Neumann Computer Model or paradigm.
The Von Neumann Computer Model

- Partitioning of the programmable computing engine into components:
  - Central Processing Unit (CPU): Control Unit (instruction decode, sequencing of operations), Datapath (registers, arithmetic and logic unit, buses).
  - Memory: Instruction and operand storage.
  - Input/Output (I/O) sub-system: I/O bus, interfaces, devices.
  - The stored program concept: Instructions from an instruction set are fetched from a common memory and executed one at a time. The Program Counter (PC) points to next instruction to be processed.

Major CPU Performance Limitation: The Von Neumann computing model implies sequential execution one instruction at a time.

Another Performance Limitation: Separation of CPU and memory (The Von Neumann memory bottleneck).
Generic CPU Machine Instruction Processing Steps

(Implied by The Von Neumann Computer Model)

- **Instruction Fetch**
  - Obtain instruction from program storage
  - The Program Counter (PC) points to next instruction to be processed

- **Instruction Decode**
  - Determine required actions and instruction size

- **Operand Fetch**
  - Locate and obtain operand data

- **Execute**
  - Compute result value or status

- **Result Store**
  - Deposit results in storage for later use

- **Next Instruction**
  - Determine successor or next instruction
  - (i.e. Update PC)

Major CPU Performance Limitation: The Von Neumann computing model implies **sequential execution** one instruction at a time

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CPU Organization (Design)

• **Datapath Design:**
  - Capabilities & performance characteristics of principal Functional Units (FUs):
    • (e.g., Registers, ALU, Shifters, Logic Units, ...)
  - Ways in which these components are interconnected (buses connections, multiplexors, etc.).
  - How information flows between components.

• **Control Unit Design:**
  - Logic and means by which such information flow is controlled.
  - Control and coordination of FUs operation to realize the targeted Instruction Set Architecture to be implemented (can either be implemented using a finite state machine or a microprogram).

• **Description of hardware operations with a suitable language, possibly using Register Transfer Notation (RTN).**

(From 350)
Recent Trends in Computer Design

- The cost/performance ratio of computing systems have seen a steady decline due to advances in:
  - Integrated circuit technology: *decreasing feature size, λ*
    - Clock rate improves roughly proportional to improvement in λ
    - Number of transistors improves proportional to $\lambda^2$ (or faster).
  - Architectural improvements in CPU design.

- Microprocessor systems directly reflect IC and architectural improvement in terms of a yearly 35 to 55% improvement in performance.

- Assembly language has been mostly eliminated and replaced by other alternatives such as C or C++

- Standard operating Systems (UNIX, Windows) lowered the cost of introducing new architectures.

- Emergence of RISC architectures and RISC-core (x86) architectures.

- Adoption of quantitative approaches to computer design based on empirical performance observations.

- Increased importance of exploiting thread-level parallelism (TLP) in main-stream computing systems.

  e.g Multiple (2 to 8) processor cores on a single chip (multi-core)
Microprocessor Performance 1987-97

Integer SPEC92 Performance

> 100x performance increase in the last decade

T = I x CPI x C
Microprocessor Frequency Trend

Result:
- Deeper Pipelines
- Longer stalls
- Higher CPI (lowers effective performance per cycle)

1. Frequency doubles each generation
2. Number of gates/clock reduce by 25%
3. Leads to deeper pipelines with more stages (e.g. Intel Pentium 4E has 30+ pipeline stages)

Why?
- Static power leakage
- Clock distribution delays

Realty Check:
Clock frequency scaling is slowing down!
(Did silicone finally hit the wall?)

T = I x CPI x C

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Microprocessor Transistor Count Growth Rate

CPU Transistor Counts 1971-2008 & Moore's Law

- Currently > 7 Billion
- Moore’s Law: 2X transistors/Chip Every 1.5-2 years (circa 1970)
- Still holds today

~ 1,300,000x transistor density increase in the last 40 years

Intel 4004 (2300 transistors)
Computer Technology Trends: 
*Evolutionary but Rapid Change*

- **Processor:**
  - 1.5-1.6 performance improvement every year; Over 100X performance in last decade.

- **Memory:**
  - DRAM capacity: > 2x every 1.5 years; 1000X size in last decade.
  - Cost per bit: Improves about 25% or more per year.
  - Only 15-25% performance improvement per year.

- **Disk:**
  - Capacity: > 2X in size every 1.5 years.
  - Cost per bit: Improves about 60% per year.
  - 200X size in last decade.
  - Only 10% performance improvement per year, due to mechanical limitations.

- **State-of-the-art PC First Quarter 2015:**
  - Processor clock speed: ~ 4000 MegaHertz (4 Giga Hertz)
  - Memory capacity: ~ 16000 MegaByte (16 Giga Bytes)
  - Disk capacity: ~ 6000 GigaBytes (6 Tera Bytes)

Performance gap compared to CPU performance causes system performance bottlenecks

With 2-8 processor cores on a single chip
Hierarchical View of Computer Architecture

High-Level Language Programs

- Application
  - Operating System
    - Compiler
    - Firmware
      - Instruction Set Proc.
      - I/O system
        - Datapath & Control
          - Digital Design
            - Circuit Design
              - Layout
      - I/O system
        - VLSI placement & routing
          - Logic Diagrams
            - Circuit Diagrams
              - Machine Language Program
                - Software
                  - Software/Hardware Boundary
                    - Hardware
                      - ISA Requirements → Processor Design
            - Microprogram
              - Register Transfer Notation (RTN)
                - Assembly Language Programs
                  - e.g. BIOS (Basic Input/Output System)
                    - Instruction Set Architecture (ISA)
                      - The ISA forms an abstraction layer that sets the requirements for both compiler and CPU designers

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Computer Architecture Vs. Computer Organization

- The term **Computer architecture** is sometimes erroneously restricted to computer instruction set design, with other aspects of computer design called implementation.

- More accurate definitions:
  - **Instruction set architecture (ISA):** The actual programmer-visible instruction set and serves as the boundary between the software and hardware.
  - Implementation of a machine has two components:
    - **Organization:** includes the high-level aspects of a computer’s design such as: The memory system, the bus structure, the internal CPU unit which includes implementations of arithmetic, logic, branching, and data transfer operations.
    - **Hardware:** Refers to the specifics of the machine such as detailed logic design and packaging technology.
    - In general, **Computer Architecture** refers to the above three aspects: Instruction set architecture, organization, and hardware.
The Task of A Computer Designer

• Determine what attributes that are important to the design of the new machine (CPU).

• Design a machine to maximize performance while staying within cost and other constraints and metrics.

• It involves more than instruction set design.
  1. Instruction set architecture. (ISA)
  2. CPU Micro-architecture (CPU design).
  3. Implementation.

• Implementation of a machine has two components:
  – Organization.
  – Hardware.
Recent Architectural Improvements

- Long memory latency-hiding techniques, including:
  - Increased optimization and utilization of multi-level cache systems.

- Improved handling of pipeline hazards.

- Improved hardware branch prediction techniques.

- Optimization of pipelined instruction execution:
  - Dynamic hardware-based pipeline scheduling.  
  - Dynamic speculative execution.

- Exploiting Instruction-Level Parallelism (ILP) in terms of multiple-instruction issue and multiple hardware functional units.

- Inclusion of special instructions to handle multimedia applications (limited vector processing).

- High-speed bus designs to improve data transfer rates.
  - Also, increased utilization of point-to-point interconnects instead of one system bus (e.g HyperTransport)
CPU Performance Evaluation: 
Cycles Per Instruction (CPI)

• Most computers run synchronously utilizing a CPU clock running at a constant clock rate:

\[ f \]  
where: \( f \) = Clock rate = 1 / clock cycle

• The CPU clock rate depends on the specific CPU organization (design) and hardware implementation technology (VLSI) used.

• A computer machine (ISA) instruction is comprised of a number of elementary or micro operations which vary in number and complexity depending on the instruction and the exact CPU organization (Design).
  
  - A micro operation is an elementary hardware operation that can be performed during one CPU clock cycle.
  
  - This corresponds to one micro-instruction in microprogrammed CPUs.

  - Examples: register operations: shift, load, clear, increment, ALU operations: add, subtract, etc.

• Thus a single machine instruction may take one or more CPU cycles to complete termed as the Cycles Per Instruction (CPI).

\[ \text{CPI} = 1 / \text{IPC} \]

• Average CPI of a program: The average CPI of all instructions executed in the program on a given CPU design.

(From 350)

Instructions Per Cycle = IPC = 1/CPI

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Computer Performance Measures: Program Execution Time

• For a specific program compiled to run on a specific machine (CPU) “A”, the following parameters are provided:
  – The total instruction count of the program. \( I \)
  – The average number of cycles per instruction (average CPI). \( \text{CPI} \)
  – Clock cycle of machine “A” \( C \)

• How can one measure the performance of this machine running this program?
  – Intuitively the machine is said to be faster or has better performance running this program if the total execution time is shorter.
  – Thus the inverse of the total measured program execution time is a possible performance measure or metric:

\[
\text{Performance}_A = \frac{1}{\text{Execution Time}_A}
\]

How to compare performance of different machines?
What factors affect performance? How to improve performance?

(From 350)
Comparing Computer Performance Using Execution Time

- To compare the performance of two machines (or CPUs) “A”, “B” running a given specific program:
  
  \[
  \text{Performance}_A = \frac{1}{\text{Execution Time}_A} \\
  \text{Performance}_B = \frac{1}{\text{Execution Time}_B}
  \]

- Machine A is \( n \) times faster than machine B means (or slower? if \( n < 1 \)):

  \[
  \text{Speedup} = n = \frac{\text{Performance}_A}{\text{Performance}_B} = \frac{\text{Execution Time}_B}{\text{Execution Time}_A}
  \]

- Example:

  (i.e Speedup is ratio of performance, no units)

For a given program:

- Execution time on machine A: \( \text{Execution}_A = 1 \) second
- Execution time on machine B: \( \text{Execution}_B = 10 \) seconds

  \[
  \text{Speedup} = \frac{\text{Performance}_A}{\text{Performance}_B} = \frac{\text{Execution Time}_B}{\text{Execution Time}_A} = \frac{10}{1} = 10
  \]

  The performance of machine A is 10 times the performance of machine B when running this program, or: Machine A is said to be 10 times faster than machine B when running this program.

(From 350)

The two CPUs may target different ISAs provided the program is written in a high level language (HLL)
## CPU Execution Time: The CPU Equation

- A program is comprised of a number of instructions executed, \( I \)
  - Measured in: instructions/program

- The average instruction executed takes a number of cycles per instruction (CPI) to be completed.
  - Measured in: cycles/instruction, CPI

- CPU has a fixed clock cycle time \( C = \frac{1}{\text{clock rate}} \)
  - Measured in: seconds/cycle

- CPU execution time is the product of the above three parameters as follows:

\[
\text{CPU time} = \frac{\text{Seconds}}{\text{Program}} = \frac{\text{Instructions}}{\text{Program}} \times \frac{\text{Cycles}}{\text{Instruction}} \times \frac{\text{Seconds}}{\text{Cycle}}
\]

\[
T = I \times \frac{\text{CPI}}{C}
\]  

(This equation is commonly known as the CPU performance equation)

(From 350)
CPU Execution Time: Example

• A Program is running on a specific machine (CPU) with the following parameters:
  – Total executed instruction count: 10,000,000 instructions
  – Average CPI for the program: 2.5 cycles/instruction.
  – CPU clock rate: 200 MHz. (clock cycle = 5x10^-9 seconds)

• What is the execution time for this program:

   \[
   \text{CPU time} = \text{Instruction count} \times \text{CPI} \times \text{Clock cycle}
   \]

   \[
   = 10,000,000 \times 2.5 \times 1 / \text{clock rate}
   \]

   \[
   = 10,000,000 \times 2.5 \times 5 \times 10^{-9}
   \]

   \[
   = .125 \text{ seconds}
   \]

(From 350) \[T = I \times \text{CPI} \times C\]
Aspects of CPU Execution Time

CPU Time = Instruction count \times CPI \times Clock cycle

Depends on:
- Program Used
- Compiler
- ISA

Instruction Count \( I \)

(Executed)

CPI

(Average CPI)

Clock Cycle \( C \)

T = I \times CPI \times C

Depends on:
- CPU Organization
- Technology (VLSI)

(From 350)
### Factors Affecting CPU Performance

The CPU time can be calculated as:

\[ \text{CPU time} = \frac{\text{Seconds}}{\text{Program}} = \frac{\text{Instructions} \times \text{Cycles} \times \text{Seconds}}{\text{Instruction}} \]

<table>
<thead>
<tr>
<th>Program</th>
<th>Instruction Count I</th>
<th>Average CPI</th>
<th>Clock Cycle C</th>
</tr>
</thead>
<tbody>
<tr>
<td>Compiler</td>
<td>X</td>
<td>X</td>
<td></td>
</tr>
<tr>
<td>Instruction Set</td>
<td>X</td>
<td>X</td>
<td></td>
</tr>
<tr>
<td>Architecture (ISA)</td>
<td>X</td>
<td>X</td>
<td></td>
</tr>
<tr>
<td>Organization (CPU Design)</td>
<td>X</td>
<td>X</td>
<td></td>
</tr>
<tr>
<td>Technology (VLSI)</td>
<td></td>
<td></td>
<td>X</td>
</tr>
</tbody>
</table>

(From 350)  \[ T = I \times \text{CPI} \times C \]
Performance Comparison: Example

- From the previous example: A Program is running on a specific machine with the following parameters:
  - Total executed instruction count, \( I \): 10,000,000 instructions
  - Average CPI for the program: 2.5 cycles/instruction.
  - CPU clock rate: 200 MHz.
- Using the same program with these changes:
  - A new compiler used: New instruction count 9,500,000
  - New CPI: 3.0
  - Faster CPU implementation: New clock rate = 300 MHZ
- What is the speedup with the changes?

\[
\text{Speedup} = \frac{\text{Old Execution Time}}{\text{New Execution Time}} = \frac{I_{\text{old}} \times \text{CPI}_{\text{old}} \times \text{Clock cycle}_{\text{old}}}{I_{\text{new}} \times \text{CPI}_{\text{new}} \times \text{Clock Cycle}_{\text{new}}}
\]

\[
\text{Speedup} = \frac{(10,000,000 \times 2.5 \times 5 \times 10^{-9})}{(9,500,000 \times 3 \times 3.33 \times 10^{-9})} = \frac{.125}{.095} = 1.32
\]

or 32 % faster after changes.

(From 350)
Instruction Types & Average CPI

• Given a program with \( n \) types or classes of instructions executed on a given CPU with the following characteristics:

\[
C_i = \text{Count of instructions of type}_i \quad \text{Executed}
\]
\[
CPI_i = \text{Cycles per instruction for type}_i \quad i = 1, 2, \ldots, n
\]

Then:

\[
\text{CPI} = \frac{\text{CPU Clock Cycles}}{\text{Instruction Count } I}
\]

Where:

\[
\text{CPU clock cycles} = \sum_{i=1}^{n} (CPI_i \times C_i)
\] 

Instruction Count \( I = \sum C_i \)

\[
T = I \times \text{CPI} \times C
\]

(From 350)
Instruction Types & CPI: An Example

- An instruction set has three instruction classes:

<table>
<thead>
<tr>
<th>Instruction class</th>
<th>CPI</th>
</tr>
</thead>
<tbody>
<tr>
<td>A</td>
<td>1</td>
</tr>
<tr>
<td>B</td>
<td>2</td>
</tr>
<tr>
<td>C</td>
<td>3</td>
</tr>
</tbody>
</table>

- Two code sequences have the following instruction counts:

<table>
<thead>
<tr>
<th>Code Sequence</th>
<th>Instruction counts for instruction class</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>A</td>
</tr>
<tr>
<td>1</td>
<td>2</td>
</tr>
<tr>
<td>2</td>
<td>4</td>
</tr>
</tbody>
</table>

- CPU cycles for sequence 1 = \(2 \times 1 + 1 \times 2 + 2 \times 3 = 10\) cycles
  
  CPI for sequence 1 = \(\text{clock cycles} / \text{instruction count}\)
  
  = \(10 / 5 = 2\)

- CPU cycles for sequence 2 = \(4 \times 1 + 1 \times 2 + 1 \times 3 = 9\) cycles
  
  CPI for sequence 2 = \(9 / 6 = 1.5\)

\[\text{CPU clock cycles} = \sum_{i=1}^{n} (CPI_i \times C_i)\]

\[\text{CPI} = \text{CPU Cycles} / \text{I}\]

(From 350)
Instruction Frequency & Average CPI

- Given a program with \( n \) types or classes of instructions with the following characteristics:

  \[ C_i = \text{Count of instructions of type}_i \]
  \[ CPI_i = \text{Average cycles per instruction of type}_i \]
  \[ F_i = \text{Frequency or fraction of instruction type}_i \text{ executed} \]
  \[ = C_i/ \text{total executed instruction count} = C_i/ I \]

Then:

\[
 CPI = \sum_{i=1}^{n} \left( CPI_i \times F_i \right)
\]

i.e average or effective CPI

Fraction of total execution time for instructions of type \( i \) = \( \frac{CPI_i \times F_i}{CPI} \)

(From 350)
# Instruction Type Frequency & CPI: A RISC Example

## Program Profile or Executed Instructions Mix

<table>
<thead>
<tr>
<th>Op</th>
<th>Freq, $F_i$</th>
<th>CPI$_i$</th>
<th>CPI$_i$ x $F_i$</th>
<th>% Time</th>
</tr>
</thead>
<tbody>
<tr>
<td>ALU</td>
<td>50%</td>
<td>1</td>
<td>.5</td>
<td>23%</td>
</tr>
<tr>
<td>Load</td>
<td>20%</td>
<td>5</td>
<td>1.0</td>
<td>45%</td>
</tr>
<tr>
<td>Store</td>
<td>10%</td>
<td>3</td>
<td>.3</td>
<td>14%</td>
</tr>
<tr>
<td>Branch</td>
<td>20%</td>
<td>2</td>
<td>.4</td>
<td>18%</td>
</tr>
</tbody>
</table>

**Typical Mix**

Average CPI = \[ CPI = \sum_{i=1}^{n} (CPI_i \times F_i) \]

\[ CPI = .5 \times 1 + .2 \times 5 + .1 \times 3 + .2 \times 2 = 2.2 \]

\[ = .5 + 1 + .3 + .4 \]

CPI = Sum = 2.2

(From 350)
Metrics of Computer Performance

Each metric has a purpose, and each can be misused.

- Execution time: Target workload, SPEC, etc.
- (millions) of Instructions per second – MIPS
- (millions) of (F.P.) operations per second – MFLOP/s
- Megabytes per second.
- Cycles per second (clock rate).

Application

Programming Language

Compiler

ISA

Datapath

Control

Function Units

Transistors Wires Pins

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Choosing Programs To Evaluate Performance

Levels of programs or benchmarks that could be used to evaluate performance:

- **Actual Target Workload:** Full applications that run on the target machine.

- **Real Full Program-based Benchmarks:**
  - Select a specific mix or suite of programs that are typical of targeted applications or workload (e.g. SPEC95, SPEC CPU2000).

- **Small “Kernel” Benchmarks:** Also called synthetic benchmarks
  - Key computationally-intensive pieces extracted from real programs.
    - Examples: Matrix factorization, FFT, tree search, etc.
  - Best used to test specific aspects of the machine.

- **Microbenchmarks:**
  - Small, specially written programs to isolate a specific aspect of performance characteristics: Processing: integer, floating point, local memory, input/output, etc.
SPEC: System Performance Evaluation Corporation

The most popular and industry-standard set of CPU benchmarks.

- **SPECmarks, 1989:**
  - 10 programs yielding a single number (“SPECmarks”).

- **SPEC92, 1992:**
  - SPECInt92 (6 integer programs) and SPECfp92 (14 floating point programs).

- **SPEC95, 1995:**
  - SPECInt95 (8 integer programs):
    - go, m88ksim, gcc, compress, li, ijpeg, perl, vortex
  - SPECfp95 (10 floating-point intensive programs):
    - tomcatv, swim, su2cor, hydro2d, mgrid, apelu, turb3d, apsi, fppp, wave5
  - Performance relative to a Sun SuperSpark I (50 MHz) which is given a score of SPECInt95 = SPECfp95 = 1

- **SPEC CPU2000, 1999:**
  - CINT2000 (11 integer programs). CFP2000 (14 floating-point intensive programs)
  - Performance relative to a Sun Ultra5_10 (300 MHz) which is given a score of SPECInt2000 = SPECfp2000 = 100

- **SPEC CPU2006, 2006:**
  - CINT2006 (12 integer programs). CFP2006 (17 floating-point intensive programs)
  - Performance relative to a Sun Ultra Enterprise 2 workstation with a 296-MHz UltraSPARC II processor which is given a score of SPECInt2006 = SPECfp2006 = 1

All based on execution time and give speedup over a reference CPU.
### SPEC CPU2000 Programs

<table>
<thead>
<tr>
<th>Benchmark</th>
<th>Language</th>
<th>Descriptions</th>
</tr>
</thead>
<tbody>
<tr>
<td>164.gzip</td>
<td>C</td>
<td>Compression</td>
</tr>
<tr>
<td>175.vpr</td>
<td>C</td>
<td>FPGA Circuit Placement and Routing</td>
</tr>
<tr>
<td>176.gcc</td>
<td>C</td>
<td>C Programming Language Compiler</td>
</tr>
<tr>
<td>181.mcf</td>
<td>C</td>
<td>Combinatorial Optimization</td>
</tr>
<tr>
<td>186.crafty</td>
<td>C</td>
<td>Game Playing: Chess</td>
</tr>
<tr>
<td>197.parser</td>
<td>C</td>
<td>Word Processing</td>
</tr>
<tr>
<td>252.eon</td>
<td>C++</td>
<td>Computer Visualization</td>
</tr>
<tr>
<td>253.perlbmk</td>
<td>C</td>
<td>PERL Programming Language</td>
</tr>
<tr>
<td>254.gap</td>
<td>C</td>
<td>Group Theory, Interpreter</td>
</tr>
<tr>
<td>255.vortex</td>
<td>C</td>
<td>Object-oriented Database</td>
</tr>
<tr>
<td>256.bzip2</td>
<td>C</td>
<td>Compression</td>
</tr>
<tr>
<td>300.twolf</td>
<td>C</td>
<td>Place and Route Simulator</td>
</tr>
<tr>
<td>168.wupwise</td>
<td>Fortran 77</td>
<td>Physics / Quantum Chromodynamics</td>
</tr>
<tr>
<td>171.swim</td>
<td>Fortran 77</td>
<td>Shallow Water Modeling</td>
</tr>
<tr>
<td>172.mgrid</td>
<td>Fortran 77</td>
<td>Multi-grid Solver: 3D Potential Field</td>
</tr>
<tr>
<td>173.applu</td>
<td>Fortran 77</td>
<td>Parabolic / Elliptic Partial Differential Equations</td>
</tr>
<tr>
<td>177.mesa</td>
<td>C</td>
<td>3-D Graphics Library</td>
</tr>
<tr>
<td>178.galgel</td>
<td>Fortran 90</td>
<td>Computational Fluid Dynamics</td>
</tr>
<tr>
<td>179.art</td>
<td>C</td>
<td>Image Recognition / Neural Networks</td>
</tr>
<tr>
<td>183.equake</td>
<td>C</td>
<td>Seismic Wave Propagation Simulation</td>
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<td>187.facerec</td>
<td>Fortran 90</td>
<td>Image Processing: Face Recognition</td>
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<tr>
<td>188.ammp</td>
<td>C</td>
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<tr>
<td>189.lucas</td>
<td>Fortran 90</td>
<td>Number Theory / Primality Testing</td>
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<td>191.fma3d</td>
<td>Fortran 90</td>
<td>Finite-element Crash Simulation</td>
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<tr>
<td>200.sixtrack</td>
<td>Fortran 77</td>
<td>High Energy Nuclear Physics Accelerator Design</td>
</tr>
<tr>
<td>301.apsi</td>
<td>Fortran 77</td>
<td>Meteorology: Pollutant Distribution</td>
</tr>
</tbody>
</table>

Programs application domain: Engineering and scientific computation


Performance relative to VAX 11/780 (given a score = 1)

1978 Score = 1

T = I x CPI x C

2006 Score = 10,000

Now > 50,000 x ?
## Top 20 SPEC CPU2000 Results (As of October 2006)

<table>
<thead>
<tr>
<th>#</th>
<th>MHz</th>
<th>Processor</th>
<th>int peak</th>
<th>int base</th>
<th>MHz</th>
<th>Processor</th>
<th>fp peak</th>
<th>fp base</th>
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</thead>
<tbody>
<tr>
<td>1</td>
<td>2933</td>
<td>Core 2 Duo EE</td>
<td>3119</td>
<td>3108</td>
<td>2300</td>
<td>POWER5+</td>
<td>3642</td>
<td>3369</td>
</tr>
<tr>
<td>2</td>
<td>3000</td>
<td>Xeon 51xx</td>
<td>3102</td>
<td>3089</td>
<td>1600</td>
<td>DC Itanium 2</td>
<td>3098</td>
<td>3098</td>
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<tr>
<td>3</td>
<td>2666</td>
<td>Core 2 Duo</td>
<td>2848</td>
<td>2844</td>
<td>3000</td>
<td>Xeon 51xx</td>
<td>3056</td>
<td>2811</td>
</tr>
<tr>
<td>4</td>
<td>2660</td>
<td>Xeon 30xx</td>
<td>2835</td>
<td>2826</td>
<td>2933</td>
<td>Core 2 Duo EE</td>
<td>3050</td>
<td>3048</td>
</tr>
<tr>
<td>5</td>
<td>3000</td>
<td>Opteron</td>
<td>2119</td>
<td>1942</td>
<td>2660</td>
<td>Xeon 30xx</td>
<td>3044</td>
<td>2763</td>
</tr>
<tr>
<td>6</td>
<td>2800</td>
<td>Athlon 64 FX</td>
<td>2061</td>
<td>1923</td>
<td>1600</td>
<td>Itanium 2</td>
<td>3017</td>
<td>3017</td>
</tr>
<tr>
<td>7</td>
<td>2800</td>
<td>Opteron AM2</td>
<td>1960</td>
<td>1749</td>
<td>2667</td>
<td>Core 2 Duo</td>
<td>2850</td>
<td>2847</td>
</tr>
<tr>
<td>8</td>
<td>2300</td>
<td>POWER5+</td>
<td>1900</td>
<td>1820</td>
<td>1900</td>
<td>POWER5</td>
<td>2796</td>
<td>2585</td>
</tr>
<tr>
<td>9</td>
<td>3733</td>
<td>Pentium 4 E</td>
<td>1872</td>
<td>1870</td>
<td>3000</td>
<td>Opteron</td>
<td>2497</td>
<td>2260</td>
</tr>
<tr>
<td>10</td>
<td>3800</td>
<td>Pentium 4 Xeon</td>
<td>1856</td>
<td>1854</td>
<td>2800</td>
<td>Opteron AM2</td>
<td>2462</td>
<td>2230</td>
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<td>11</td>
<td>2260</td>
<td>Pentium M</td>
<td>1839</td>
<td>1812</td>
<td>3733</td>
<td>Pentium 4 E</td>
<td>2283</td>
<td>2280</td>
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<td>12</td>
<td>3600</td>
<td>Pentium D</td>
<td>1814</td>
<td>1810</td>
<td>2800</td>
<td>Athlon 64 FX</td>
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<td>2086</td>
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<tr>
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<td>2167</td>
<td>Core Duo</td>
<td>1804</td>
<td>1796</td>
<td>2700</td>
<td>PowerPC 970MP</td>
<td>2259</td>
<td>2060</td>
</tr>
<tr>
<td>14</td>
<td>3600</td>
<td>Pentium 4</td>
<td>1774</td>
<td>1772</td>
<td>2160</td>
<td>SPARC64 V</td>
<td>2236</td>
<td>2094</td>
</tr>
<tr>
<td>15</td>
<td>3466</td>
<td>Pentium 4 EE</td>
<td>1772</td>
<td>1701</td>
<td>3730</td>
<td>Pentium 4 Xeon</td>
<td>2150</td>
<td>2063</td>
</tr>
<tr>
<td>16</td>
<td>2700</td>
<td>PowerPC 970MP</td>
<td>1706</td>
<td>1623</td>
<td>3600</td>
<td>Pentium D</td>
<td>2077</td>
<td>2073</td>
</tr>
<tr>
<td>17</td>
<td>2600</td>
<td>Athlon 64</td>
<td>1706</td>
<td>1612</td>
<td>3600</td>
<td>Pentium 4</td>
<td>2015</td>
<td>2009</td>
</tr>
<tr>
<td>18</td>
<td>2000</td>
<td>Pentium 4 Xeon</td>
<td>1668</td>
<td>1663</td>
<td>2600</td>
<td>Athlon 64</td>
<td>1829</td>
<td>1700</td>
</tr>
<tr>
<td>19</td>
<td>2160</td>
<td>SPARC64 V</td>
<td>1620</td>
<td>1501</td>
<td>1700</td>
<td>POWER4+</td>
<td>1776</td>
<td>1642</td>
</tr>
<tr>
<td>20</td>
<td>1600</td>
<td>Itanium 2</td>
<td>1590</td>
<td>1590</td>
<td>3466</td>
<td>Pentium 4 EE</td>
<td>1724</td>
<td>1719</td>
</tr>
</tbody>
</table>

Performance relative to a Sun Ultra5_10 (300 MHz) which is given a score of SPECint2000 = SPECfp2000 = 100

Source: [http://www.aceshardware.com/SPECmine/top.jsp](http://www.aceshardware.com/SPECmine/top.jsp)
## SPEC CPU2006 Programs

<table>
<thead>
<tr>
<th>Benchmark</th>
<th>Language</th>
<th>Descriptions</th>
</tr>
</thead>
<tbody>
<tr>
<td>400.perlbench</td>
<td>C</td>
<td>PERL Programming Language</td>
</tr>
<tr>
<td>401.bzip2</td>
<td>C</td>
<td>Compression</td>
</tr>
<tr>
<td>403.gcc</td>
<td>C</td>
<td>C Compiler</td>
</tr>
<tr>
<td>429.mcf</td>
<td>C</td>
<td>Combinatorial Optimization</td>
</tr>
<tr>
<td>445.gobmk</td>
<td>C</td>
<td>Artificial Intelligence: go</td>
</tr>
<tr>
<td>456.hmmer</td>
<td>C</td>
<td>Search Gene Sequence</td>
</tr>
<tr>
<td>458.sjeng</td>
<td>C</td>
<td>Artificial Intelligence: chess</td>
</tr>
<tr>
<td>462.libquantum</td>
<td>C</td>
<td>Physics: Quantum Computing</td>
</tr>
<tr>
<td>464.h264ref</td>
<td>C</td>
<td>Video Compression</td>
</tr>
<tr>
<td>471.omnetpp</td>
<td>C++</td>
<td>Discrete Event Simulation</td>
</tr>
<tr>
<td>473.astar</td>
<td>C++</td>
<td>Path-finding Algorithms</td>
</tr>
<tr>
<td>483.Xalancbmk</td>
<td>C++</td>
<td>XML Processing</td>
</tr>
<tr>
<td>410.bwaves</td>
<td>Fortran</td>
<td>Fluid Dynamics</td>
</tr>
<tr>
<td>416.gamess</td>
<td>Fortran</td>
<td>Quantum Chemistry</td>
</tr>
<tr>
<td>433.milc</td>
<td>C</td>
<td>Physics: Quantum Chromodynamics</td>
</tr>
<tr>
<td>434.zeusmp</td>
<td>Fortran</td>
<td>Physics/CFD</td>
</tr>
<tr>
<td>435.gromacs</td>
<td>C/ Fortran</td>
<td>Biochemistry/Molecular Dynamics</td>
</tr>
<tr>
<td>436.cactusADM</td>
<td>C/ Fortran</td>
<td>Physics/General Relativity</td>
</tr>
<tr>
<td>437.leslie3d</td>
<td>Fortran</td>
<td>Fluid Dynamics</td>
</tr>
<tr>
<td>444.namd</td>
<td>C++</td>
<td>Biology/Molecular Dynamics</td>
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<td>447.dealII</td>
<td>C++</td>
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<tr>
<td>450.soplex</td>
<td>C++</td>
<td>Linear Programming, Optimization</td>
</tr>
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<td>453.povray</td>
<td>C++</td>
<td>Image Ray-tracing</td>
</tr>
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<td>454.calculix</td>
<td>C/ Fortran</td>
<td>Structural Mechanics</td>
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<td>459.GemsFDTD</td>
<td>Fortran</td>
<td>Computational Electromagnetics</td>
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<td>465.tonto</td>
<td>Fortran</td>
<td>Quantum Chemistry</td>
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<tr>
<td>470.lbm</td>
<td>C</td>
<td>Fluid Dynamics</td>
</tr>
<tr>
<td>481.wrf</td>
<td>C/ Fortran</td>
<td>Weather Prediction</td>
</tr>
<tr>
<td>482.sphinx3</td>
<td>C</td>
<td>Speech recognition</td>
</tr>
</tbody>
</table>

**CINT2006 (Integer)**

- 12 programs

**CFP2006 (Floating Point)**

- 17 programs

Target Programs application domain: Engineering and scientific computation

Example Integer SPEC CPU2006 Performance Results

For 2.5 GHz AMD Opteron X4 model 2356 (Barcelona)

<table>
<thead>
<tr>
<th>Description</th>
<th>Name</th>
<th>Instruction Count ( \times 10^9 )</th>
<th>CPI</th>
<th>Clock Cycle Time ( \times 10^9 )</th>
<th>Execution Time ( \text{seconds} )</th>
<th>Reference Time ( \text{seconds} )</th>
<th>SPEC Create</th>
</tr>
</thead>
<tbody>
<tr>
<td>Interpreted string processing</td>
<td>perl</td>
<td>2,118</td>
<td>0.75</td>
<td>0.4</td>
<td>637</td>
<td>9,770</td>
<td>15.3</td>
</tr>
<tr>
<td>Block-sorting compression</td>
<td>bzip2</td>
<td>2,389</td>
<td>0.85</td>
<td>0.4</td>
<td>817</td>
<td>9,650</td>
<td>11.8</td>
</tr>
<tr>
<td>GNU C compiler</td>
<td>gcc</td>
<td>1,050</td>
<td>1.72</td>
<td>0.4</td>
<td>724</td>
<td>8,050</td>
<td>11.1</td>
</tr>
<tr>
<td>Combinatorial optimization</td>
<td>mcf</td>
<td>336</td>
<td>10.00</td>
<td>0.4</td>
<td>1,345</td>
<td>9,120</td>
<td>6.8</td>
</tr>
<tr>
<td>Go game (AI)</td>
<td>go</td>
<td>1,658</td>
<td>1.09</td>
<td>0.4</td>
<td>721</td>
<td>10,490</td>
<td>14.6</td>
</tr>
<tr>
<td>Search gene sequence</td>
<td>hmer</td>
<td>2,783</td>
<td>0.80</td>
<td>0.4</td>
<td>890</td>
<td>9,330</td>
<td>10.5</td>
</tr>
<tr>
<td>Chess game (AI)</td>
<td>sjeng</td>
<td>2,176</td>
<td>0.96</td>
<td>0.4</td>
<td>837</td>
<td>12,100</td>
<td>14.5</td>
</tr>
<tr>
<td>Quantum computer simulation</td>
<td>libquantum</td>
<td>1,623</td>
<td>1.61</td>
<td>0.4</td>
<td>1,047</td>
<td>20,720</td>
<td>19.8</td>
</tr>
<tr>
<td>Video compression</td>
<td>h264avc</td>
<td>3,102</td>
<td>0.80</td>
<td>0.4</td>
<td>993</td>
<td>22,130</td>
<td>22.3</td>
</tr>
<tr>
<td>Discrete event simulation library</td>
<td>omnetpp</td>
<td>587</td>
<td>2.94</td>
<td>0.4</td>
<td>690</td>
<td>6,250</td>
<td>9.1</td>
</tr>
<tr>
<td>Games/path finding</td>
<td>aStar</td>
<td>1,082</td>
<td>1.79</td>
<td>0.4</td>
<td>773</td>
<td>7,020</td>
<td>9.1</td>
</tr>
<tr>
<td>XML parsing</td>
<td>xalanbmk</td>
<td>1,058</td>
<td>2.70</td>
<td>0.4</td>
<td>1,143</td>
<td>6,900</td>
<td>6.0</td>
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<tr>
<td>Geometric Mean</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td>11.7</td>
</tr>
</tbody>
</table>

Performance relative to a Sun Ultra Enterprise 2 workstation with a 296-MHz UltraSPARC II processor which is given a score of SPECint2006 = SPECfp2006 = 1

\[ T = I \times CPI \times C \]
Computer Performance Measures:

MIPS (Million Instructions Per Second) Rating

- For a specific program running on a specific CPU the MIPS rating is a measure of how many millions of instructions are executed per second:

  \[ \text{MIPS Rating} = \frac{\text{Instruction count}}{(\text{Execution Time} \times 10^6)} = \frac{\text{Instruction count}}{(\text{CPU clocks} \times \text{Cycle time} \times 10^6)} = \frac{(\text{Instruction count} \times \text{Clock rate})}{(\text{Instruction count} \times \text{CPI} \times 10^6)} = \frac{\text{Clock rate}}{(\text{CPI} \times 10^6)} \]

- Major problem with MIPS rating: As shown above the MIPS rating does not account for the count of instructions executed (I).
  - A higher MIPS rating in many cases may not mean higher performance or better execution time. i.e. due to compiler design variations.

- In addition the MIPS rating:
  - Does not account for the instruction set architecture (ISA) used.
    - Thus it cannot be used to compare computers/CPUs with different instruction sets.

  - Easy to abuse: Program used to get the MIPS rating is often omitted.
    - Often the Peak MIPS rating is provided for a given CPU which is obtained using a program comprised entirely of instructions with the lowest CPI for the given CPU design which does not represent real programs.

(From 350)

\[ T = I \times \text{CPI} \times C \]
Computer Performance Measures: MIPS (Million Instructions Per Second) Rating

• Under what conditions can the MIPS rating be used to compare performance of different CPUs?

• The MIPS rating is only valid to compare the performance of different CPUs provided that the following conditions are satisfied:

1. The same program is used
   (actually this applies to all performance metrics)

2. The same ISA is used

3. The same compiler is used

⇒ (Thus the resulting programs used to run on the CPUs and obtain the MIPS rating are identical at the machine code level including the same instruction count (binary))

(From 350)
Compiler Variations, MIPS, Performance: An Example

- For the machine (CPU) with instruction classes:

<table>
<thead>
<tr>
<th>Instruction class</th>
<th>CPI</th>
</tr>
</thead>
<tbody>
<tr>
<td>A</td>
<td>1</td>
</tr>
<tr>
<td>B</td>
<td>2</td>
</tr>
<tr>
<td>C</td>
<td>3</td>
</tr>
</tbody>
</table>

- For a given program two compilers produced the following instruction counts:

<table>
<thead>
<tr>
<th>Instruction counts (in millions) for each instruction class</th>
</tr>
</thead>
<tbody>
<tr>
<td>Code from:</td>
</tr>
<tr>
<td>Compiler 1</td>
</tr>
<tr>
<td>Compiler 2</td>
</tr>
</tbody>
</table>

- The machine is assumed to run at a clock rate of 100 MHz

(From 350)
Compiler Variations, MIPS, Performance: An Example (Continued)

MIPS =  \frac{\text{Clock rate}}{(\text{CPI} \times 10^6)} = \frac{100 \text{ MHz}}{(\text{CPI} \times 10^6)}

\text{CPI} = \frac{\text{CPU execution cycles}}{\text{Instructions count}}

\text{CPU clock cycles} = \sum_{i=1}^{n} (\text{CPI}_i \times C_i)

\text{CPU time} = \frac{\text{Instruction count} \times \text{CPI}}{\text{Clock rate}}

• For compiler 1:
  – \text{CPI}_1 = \frac{(5 \times 1 + 1 \times 2 + 1 \times 3)}{(5 + 1 + 1)} = \frac{10}{7} = 1.43
  – \text{MIPS Rating}_1 = \frac{100}{(1.428 \times 10^6)} = 70.0
  – \text{CPU time}_1 = \frac{(5 + 1 + 1) \times 10^6 \times 1.43}{100 \times 10^6} = 0.10 \text{ seconds}

• For compiler 2:
  – \text{CPI}_2 = \frac{(10 \times 1 + 1 \times 2 + 1 \times 3)}{(10 + 1 + 1)} = \frac{15}{12} = 1.25
  – \text{MIPS Rating}_2 = \frac{100}{(1.25 \times 10^6)} = 80.0
  – \text{CPU time}_2 = \frac{(10 + 1 + 1) \times 10^6 \times 1.25}{100 \times 10^6} = 0.15 \text{ seconds}

MIPS rating indicates that compiler 2 is better while in reality the code produced by compiler 1 is faster.
MIPS32 (The ISA not the metric) Loop Performance Example

For the loop:

```c
for (i=0; i<1000; i=i+1){
    x[i] = x[i] + s; }
```

MIPS32 assembly code is given by:

```mips
lw     $3,  8($1)       ; load s in $3
addi   $6,  $2,  4000   ; $6 = address of last element + 4
loop:   lw     $4,  0($2)       ; load x[i] in $4
add    $5,  $4, $3      ; $5 has x[i] + s
sw     $5,  0($2)       ; store computed x[i]
addi   $2,  $2,  4      ; increment $2 to point to next x[ ] element
bne    $6,  $2,  loop   ; last loop iteration reached?
```

The MIPS code is executed on a specific CPU that runs at 500 MHz (clock cycle = 2ns = 2x10^-9 seconds) with following instruction type CPIs:

<table>
<thead>
<tr>
<th>Instruction type</th>
<th>CPI</th>
</tr>
</thead>
<tbody>
<tr>
<td>ALU</td>
<td>4</td>
</tr>
<tr>
<td>Load</td>
<td>5</td>
</tr>
<tr>
<td>Store</td>
<td>7</td>
</tr>
<tr>
<td>Branch</td>
<td>3</td>
</tr>
</tbody>
</table>

For this MIPS code running on this CPU find:

1- Fraction of total instructions executed for each instruction type
2- Total number of CPU cycles
3- Average CPI
4- Fraction of total execution time for each instructions type
5- Execution time
6- MIPS rating, peak MIPS rating for this CPU

X[ ] array of words in memory, base address in $2, s a constant word value in memory, address in $1
The code has 2 instructions before the loop and 5 instructions in the body of the loop which iterates 1000 times,

Thus: Total instructions executed, \( I = 5 \times 1000 + 2 = 5002 \) instructions

**1 Number of instructions executed/fraction \( F_i \) for each instruction type:**

- ALU instructions = 1 + 2\( \times 1000 = 2001 \)  \( \text{CPI}_{\text{ALU}} = 4 \)  \( \text{Fraction}_{\text{ALU}} = F_{\text{ALU}} = 2001/5002 = 0.4 = 40\% \)
- Load instructions = 1 + 1\( \times 1000 = 1001 \)  \( \text{CPI}_{\text{Load}} = 5 \)  \( \text{Fraction}_{\text{Load}} = F_{\text{Load}} = 1001/5002 = 0.2 = 20\% \)
- Store instructions = 1000  \( \text{CPI}_{\text{Store}} = 7 \)  \( \text{Fraction}_{\text{Store}} = F_{\text{Store}} = 1000/5002 = 0.2 = 20\% \)
- Branch instructions = 1000  \( \text{CPI}_{\text{Branch}} = 3 \)  \( \text{Fraction}_{\text{Branch}} = F_{\text{Branch}} = 1000/5002 = 0.2 = 20\% \)

\[ CPU \text{ clock cycles} = \sum_{i=1}^{n} \left( CPI_i \times C_i \right) \]

\[ = 2001 \times 4 + 1001 \times 5 + 1000 \times 7 + 1000 \times 3 = 23009 \text{ cycles} \]

**3 Average \( \text{CPI} \) = CPU clock cycles / \( I = 23009/5002 = 4.6 \)**

**4 Fraction of execution time for each instruction type:**

- Fraction of time for ALU instructions = \( \text{CPI}_{\text{ALU}} \times F_{\text{ALU}} / \text{CPI} = 4 \times 0.4/4.6 = 0.348 = 34.8\% \)
- Fraction of time for load instructions = \( \text{CPI}_{\text{Load}} \times F_{\text{Load}} / \text{CPI} = 5 \times 0.2/4.6 = 0.217 = 21.7\% \)
- Fraction of time for store instructions = \( \text{CPI}_{\text{Store}} \times F_{\text{Store}} / \text{CPI} = 7 \times 0.2/4.6 = 0.304 = 30.4\% \)
- Fraction of time for branch instructions = \( \text{CPI}_{\text{Branch}} \times F_{\text{Branch}} / \text{CPI} = 3 \times 0.2/4.6 = 0.13 = 13\% \)

**5 Execution time = \( I \times \text{CPI} \times C = CPU \text{ cycles} \times C = 23009 \times 2 \times 10^{-9} = \)

\[ = 4.6 \times 10^{-5} \text{ seconds} = 0.046 \text{ msec} = 46 \text{ usec} \]

**6 MIPS rating = Clock rate / (\( \text{CPI} \times 10^6 \)) = 500 / 4.6 = 108.7 \text{ MIPS}**

- The CPU achieves its peak MIPS rating when executing a program that only has instructions of the type with the lowest CPI. In this case branches with \( \text{CPI}_{\text{Branch}} = 3 \)
- Peak MIPS rating = Clock rate / (\( \text{CPI}_{\text{Branch}} \times 10^6 \)) = 500/3 = 166.67 \text{ MIPS}
**Computer Performance Measures:**

**MFLOPS** (Million FLOating-Point Operations Per Second)

- A floating-point operation is an addition, subtraction, multiplication, or division operation applied to numbers represented by a single or a double precision floating-point representation.
- MFLOPS, for a specific program running on a specific computer, is a measure of millions of floating point-operation (megaflops) per second:

\[
MFLOPS = \frac{\text{Number of floating-point operations}}{(\text{Execution time} \times 10^6)}
\]

- MFLOPS rating is a better comparison measure between different machines (applies even if ISAs are different) than the MIPS rating.
  - Applicable even if ISAs are different
- Program-dependent: Different programs have different percentages of floating-point operations present. i.e compilers have no floating-point operations and yield a MFLOPS rating of zero.
- Dependent on the type of floating-point operations present in the program.
  - Peak MFLOPS rating for a CPU: Obtained using a program comprised entirely of the simplest floating point instructions (with the lowest CPI) for the given CPU design which does not represent real floating point programs.

(From 350)

Current peak MFLOPS rating: 8,000-20,000
MFLOPS (8-20 GFLOPS) per processor core

CMPE550 - Shaaban

#47  Lec #1  Spring 2015  1-26-2015
Quantitative Principles of Computer Design

• Amdahl’s Law:

The performance gain from improving some portion of a computer is calculated by:

\[
\text{Speedup} = \frac{\text{Performance for entire task using the enhancement}}{\text{Performance for the entire task without using the enhancement}}
\]

or \[
\text{Speedup} = \frac{\text{Execution time without the enhancement}}{\text{Execution time for entire task using the enhancement}}
\]

(From 350)
Performance Enhancement Calculations: Amdahl's Law

- The overall performance enhancement possible due to a given design improvement is limited by the amount that the improved feature is used.
- Amdahl’s Law:

  Performance improvement or speedup due to enhancement $E$:

  \[
  \text{Speedup}(E) = \frac{\text{Execution Time without } E}{\text{Execution Time with } E} = \frac{\text{Performance with } E}{\text{Performance without } E}
  \]

  Suppose that enhancement $E$ accelerates a fraction $F$ of the (original) execution time by a factor $S$ and the remainder of the time is unaffected then:

  \[
  \text{Execution Time with } E = \left(1 - F\right) + \frac{F}{S} \times \text{Execution Time without } E
  \]

  Hence speedup is given by:

  \[
  \text{Speedup}(E) = \frac{1}{\left(1 - F\right) + \frac{F}{S} \times \text{Execution Time without } E} = \frac{1}{\left(1 - F\right) + \frac{F}{S}}
  \]

  $F$ (Fraction of execution time enhanced) refers to original execution time before the enhancement is applied.
Pictorial Depiction of Amdahl’s Law

Enhancement E accelerates fraction F of original execution time by a factor of S

Before:
Execution Time without enhancement E: (Before enhancement is applied)

- shown normalized to 1 = (1-F) + F = 1

<table>
<thead>
<tr>
<th>Unaffected fraction: (1- F)</th>
<th>Affected fraction: F</th>
</tr>
</thead>
</table>

Unaffected fraction: (1- F)

Unchanged

Reduced By A Factor of S

| Unaffected fraction: (1- F) | F/S |

After:
Execution Time with enhancement E:

Speedup(E) = \[ \frac{\text{Execution Time without enhancement E}}{\text{Execution Time with enhancement E}} = \frac{1}{(1 - F) + \frac{F}{S}} \]

(From 350)

What if the fractions given are after the enhancements were applied? How would you solve the problem?
Performance Enhancement Example

For the RISC machine with the following instruction mix given earlier:

<table>
<thead>
<tr>
<th>Op</th>
<th>Freq</th>
<th>Cycles</th>
<th>CPI(i)</th>
<th>% Time</th>
</tr>
</thead>
<tbody>
<tr>
<td>ALU</td>
<td>50%</td>
<td>1</td>
<td>.5</td>
<td>23%</td>
</tr>
<tr>
<td>Load</td>
<td>20%</td>
<td>5</td>
<td>1.0</td>
<td>45%</td>
</tr>
<tr>
<td>Store</td>
<td>10%</td>
<td>3</td>
<td>.3</td>
<td>14%</td>
</tr>
<tr>
<td>Branch</td>
<td>20%</td>
<td>2</td>
<td>.4</td>
<td>18%</td>
</tr>
</tbody>
</table>

If a CPU design enhancement improves the CPI of load instructions from 5 to 2, what is the resulting performance improvement from this enhancement:

Fraction enhanced = F = 45% or .45

Unaffected fraction = 100% - 45% = 55% or .55

Factor of enhancement = 5/2 = 2.5

Using Amdahl’s Law:

\[
\text{Speedup(E)} = \frac{1}{(1 - F) + \frac{F}{S}} = \frac{1}{.55 + .45/2.5} = 1.37
\]

(From 350)
An Alternative Solution Using CPU Equation

<table>
<thead>
<tr>
<th>Op</th>
<th>Freq</th>
<th>Cycles</th>
<th>CPI(i)</th>
<th>% Time</th>
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<td>ALU</td>
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<td>3</td>
<td>.3</td>
<td>14%</td>
</tr>
<tr>
<td>Branch</td>
<td>20%</td>
<td>2</td>
<td>.4</td>
<td>18%</td>
</tr>
</tbody>
</table>

CPI = 2.2

• If a CPU design enhancement improves the CPI of load instructions from 5 to 2, what is the resulting performance improvement from this enhancement:

Old CPI = 2.2

New CPI = \(.5 \times 1 + .2 \times 2 + .1 \times 3 + .2 \times 2 = 1.6\)

Speedup(E) = \(\frac{\text{Original Execution Time}}{\text{New Execution Time}} = \frac{\text{Instruction count} \times \text{old CPI} \times \text{clock cycle}}{\text{Instruction count} \times \text{new CPI} \times \text{clock cycle}}\)

= \(\frac{\text{old CPI}}{\text{new CPI}} = \frac{2.2}{1.6} = 1.37\)

Which is the same speedup obtained from Amdahl’s Law in the first solution.

(From 350) \(T = I \times \text{CPI} \times C\)
Performance Enhancement Example

A program runs in 100 seconds on a machine with multiply operations responsible for 80 seconds of this time. By how much must the speed of multiplication be improved to make the program four times faster?

Desired speedup = \(\frac{4}{1} = \frac{100}{\text{Execution Time with enhancement}}\)

\[
\rightarrow \quad \text{Execution time with enhancement} = \frac{100}{4} = 25 \text{ seconds}
\]

\[
= (100 - 80 \text{ seconds}) + \frac{80 \text{ seconds}}{S}
\]

\[
= 20 \text{ seconds} + \frac{80 \text{ seconds}}{S}
\]

\[
\rightarrow \quad 5 = \frac{80 \text{ seconds}}{S}
\]

\[
\rightarrow \quad S = \frac{80}{5} = 16
\]

Alternatively, it can also be solved by finding enhanced fraction of execution time:

\[
F = \frac{80}{100} = .8
\]

and then solving Amdahl’s speedup equation for desired enhancement factor \(S\):

\[
\text{Speedup}(E) = \frac{1}{(1 - F) + \frac{F}{S}} = 4 = \frac{1}{(1 - .8) + \frac{.8}{S}} = \frac{1}{.2 + \frac{.8}{S}}
\]

\[
\text{Solving for } S \text{ gives } S = 16
\]

Hence multiplication should be 16 times faster to get an overall speedup of 4.

(From 350)
Performance Enhancement Example

• For the previous example with a program running in 100 seconds on a machine with multiply operations responsible for 80 seconds of this time. By how much must the speed of multiplication be improved to make the program five times faster?

\[
\text{Desired speedup} = 5 = \frac{100}{\text{Execution Time with enhancement}}
\]

→ Execution time with enhancement = 20 seconds

\[
20 \text{ seconds} = (100 - 80 \text{ seconds}) + \frac{80 \text{ seconds}}{n}
\]
\[
20 \text{ seconds} = 20 \text{ seconds} + \frac{80 \text{ seconds}}{n}
\]

→ 0 = \frac{80 \text{ seconds}}{n}

No amount of multiplication speed improvement can achieve this.

(From 350)
Extending Amdahl's Law To Multiple Enhancements

• Suppose that enhancement $E_i$ accelerates a fraction $F_i$ of the original execution time by a factor $S_i$ and the remainder of the time is unaffected then:

$$\frac{\text{Original Execution Time}}{\left((1-\sum_i F_i) + \sum_i \frac{F_i}{S_i}\right) \times \text{Original Execution Time}}$$

Unaffected fraction

$$\text{Speedup} = \frac{1}{\left((1-\sum_i F_i) + \sum_i \frac{F_i}{S_i}\right)}$$

Note: All fractions $F_i$ refer to original execution time before the enhancements are applied.

(From 350)
Amdahl's Law With Multiple Enhancements: Example

• Three CPU performance enhancements are proposed with the following speedups and percentage of the code execution time affected:

\[
\text{Speedup}_1 = S_1 = 10 \quad \text{Percentage}_1 = F_1 = 20\%
\]
\[
\text{Speedup}_2 = S_2 = 15 \quad \text{Percentage}_2 = F_2 = 15\%
\]
\[
\text{Speedup}_3 = S_3 = 30 \quad \text{Percentage}_3 = F_3 = 10\%
\]

• While all three enhancements are in place in the new design, each enhancement affects a different portion of the code and only one enhancement can be used at a time.

• What is the resulting overall speedup?

\[
\text{Speedup} = \frac{1}{\left(1 - \sum_i F_i\right) + \sum_i \frac{F_i}{S_i}}
\]

\[
\begin{align*}
\text{Speedup} &= 1 / \left[ (1 - .2 - .15 - .1) + .2/10 + .15/15 + .1/30 \right] \\
&= 1 / \left[ .55 + .0333 \right] \\
&= 1 / .5833 = 1.71
\end{align*}
\]

(From 350)
Pictorial Depiction of Example

Before:
Execution Time with no enhancements: 1

Unaffected, fraction: .55
F1 = .2
F2 = .15
F3 = .1

S1 = 10
S2 = 15
S3 = 30

Unchanged

After:
Execution Time with enhancements: .55 + .02 + .01 + .00333 = .5833

Speedup = 1 / .5833 = 1.71

Note: All fractions (F_i, i = 1, 2, 3) refer to original execution time.

What if the fractions given are after the enhancements were applied? How would you solve the problem?
“Reverse” Multiple Enhancements Amdahl's Law

- Multiple Enhancements Amdahl's Law assumes that the fractions given refer to original execution time.
- If for each enhancement $S_i$ the fraction $F_i$ it affects is given as a fraction of the resulting execution time after the enhancements were applied then:

\[
\text{Speedup} = \frac{\left(1 - \sum_i F_i\right) + \sum_i F_i \times S_i}{\text{Resulting Execution Time}}
\]

Speedup = \(\left(1 - \sum_i F_i\right) + \sum_i F_i \times S_i\)

\[
\text{i.e as if resulting execution time is normalized to 1}
\]

- For the previous example assuming fractions given refer to resulting execution time after the enhancements were applied (not the original execution time), then:

\[
\text{Speedup} = (1 - .2 - .15 - .1) + .2 \times 10 + .15 \times 15 + .1 \times 30
\]

\[
= .55 + 2 + 2.25 + 3
\]

\[
= 7.8
\]
Instruction Set Architecture (ISA)

“... the attributes of a [computing] system as seen by the programmer, i.e. the conceptual structure and functional behavior, as distinct from the organization of the data flows and controls the logic design, and the physical implementation.”
– Amdahl, Blaaw, and Brooks, 1964.

The instruction set architecture is concerned with:

- Organization of programmable storage (memory & registers): Includes the amount of addressable memory and number of available registers.
- Data Types & Data Structures: Encodings & representations.
- Instruction Set: What operations are specified.
- Instruction formats and encoding.
- Modes of addressing and accessing data items and instructions.
- Exceptional conditions.

The ISA forms an abstraction layer that sets the requirements for both compiler and CPU designers.

Evolution of Instruction Sets

- Single Accumulator (EDSAC 1950) \( \rightarrow \) No ISA
  - Accumulator + Index Registers
    (Manchester Mark I, IBM 700 series 1953)
  - Separation of Programming Model from Implementation

- High-level Language Based
  (B5000 1963)
  - Concept of a Family
    (IBM 360 1964)
  - General Purpose Register Machines
    (GPR)

- Complex Instruction Sets
  (Vax, Intel 432 1977-80)
  - CISC
    68K, X86

- Load/Store Architecture
  (CDC 6600, Cray 1 1963-76)
  - RISC
    (Mips, SPARC, HP-PA, IBM RS6000, . . . 1987)

The ISA forms an abstraction layer that sets the requirements for both compiler and CPU designers.
Complex Instruction Set Computer (CISC)

- Emphasizes doing more with each instruction:
  - Thus fewer instructions per program (more compact code).
- Motivated by the high cost of memory and hard disk capacity when original CISC architectures were proposed
  - When M6800 was introduced: 16K RAM = $500, 40M hard disk = $55,000
  - When MC68000 was introduced: 64K RAM = $200, 10M HD = $5,000
- Original CISC architectures evolved with faster more complex CPU designs but backward instruction set compatibility had to be maintained.
- Wide variety of addressing modes:
  - 14 in MC68000, 25 in MC68020
- A number instruction modes for the location and number of operands:
  - The VAX has 0- through 3-address instructions.
- Variable-length instruction encoding.

Why?

Circa 1980

to reduce code size
Reduced Instruction Set Computer (RISC)

• Focuses on reducing the number and complexity of instructions of the machine.
• Reduced CPI. Goal: At least one instruction per clock cycle. (CPI = 1 or less)
• Designed with pipelining in mind.
• Fixed-length instruction encoding.
• Only load and store instructions access memory for data.
• Simplified addressing modes. (Thus more instructions executed than CISC)
  – Usually limited to immediate, register indirect, register displacement, indexed.
• Delayed loads and branches.
• Instruction pre-fetch and speculative execution.
• Examples: MIPS, SPARC, POWER, PowerPC, Alpha ..

RISC: Simplify ISA ➔ Simplify CPU Design ➔ Better CPU Performance

Machine = CPU or ISA

Simpler CPU Design
Better CPU performance

RISC Goals

~1984

ISAs

CMPE550 - Shaaban

#62 Lec # 1 Spring 2015 1-26-2015
Types of Instruction Set Architectures
According To Operand Addressing Fields

Memory-To-Memory Machines:
- Operands obtained from memory and results stored back in memory by any instruction that requires operands.
- No local CPU registers are used in the CPU datapath.
- Include:
  - The 4 Address Machine.
  - The 3-address Machine.
  - The 2-address Machine.

The 1-address (Accumulator) Machine:
- A single local CPU special-purpose register (accumulator) is used as the source of one operand and as the result destination.

The 0-address or Stack Machine:
- A push-down stack is used in the CPU.

General Purpose Register (GPR) Machines:
- The CPU datapath contains several local general-purpose registers which can be used as operand sources and as result destinations.
- A large number of possible addressing modes.
- **Load-Store or Register-To-Register Machines**: GPR machines where only data movement instructions (loads, stores) can obtain operands from memory and store results to memory.
General-Purpose Register (GPR) ISAs/Machines

• Every ISA designed after 1980 uses a load-store GPR architecture (i.e RISC, to simplify CPU design).

• Registers, like any other storage form internal to the CPU, are faster than memory.

• Registers are easier for a compiler to use.

• Shorter instruction encoding.

• GPR architectures are divided into several types depending on two factors:
  – Whether an ALU instruction has two or three operands.
  – How many of the operands in ALU instructions may be memory addresses.

Why GPR?
<table>
<thead>
<tr>
<th>Machine</th>
<th>Number of General Purpose Registers</th>
<th>Architecture</th>
<th>year</th>
</tr>
</thead>
<tbody>
<tr>
<td>EDSAC</td>
<td>1</td>
<td>accumulator</td>
<td>1949</td>
</tr>
<tr>
<td>IBM 701</td>
<td>1</td>
<td>accumulator</td>
<td>1953</td>
</tr>
<tr>
<td>CDC 6600</td>
<td>8</td>
<td>load-store</td>
<td>1963</td>
</tr>
<tr>
<td>IBM 360</td>
<td>16</td>
<td>register-memory</td>
<td>1964</td>
</tr>
<tr>
<td>DEC PDP-8</td>
<td>1</td>
<td>accumulator</td>
<td>1965</td>
</tr>
<tr>
<td>DEC PDP-11</td>
<td>8</td>
<td>register-memory</td>
<td>1970</td>
</tr>
<tr>
<td>Intel 8008</td>
<td>1</td>
<td>accumulator</td>
<td>1972</td>
</tr>
<tr>
<td>Motorola 6800</td>
<td>1</td>
<td>accumulator</td>
<td>1974</td>
</tr>
<tr>
<td>DEC VAX</td>
<td>16</td>
<td>register-memory</td>
<td>1977</td>
</tr>
<tr>
<td>Intel 8086</td>
<td>1</td>
<td>extended accumulator</td>
<td>1978</td>
</tr>
<tr>
<td>Motorola 68000</td>
<td>16</td>
<td>register-memory</td>
<td>1980</td>
</tr>
<tr>
<td>Intel 80386</td>
<td>8</td>
<td>register-memory</td>
<td>1985</td>
</tr>
<tr>
<td>MIPS</td>
<td>32</td>
<td>load-store</td>
<td>1985</td>
</tr>
<tr>
<td>HP PA-RISC</td>
<td>32</td>
<td>load-store</td>
<td>1986</td>
</tr>
<tr>
<td>SPARC</td>
<td>32</td>
<td>load-store</td>
<td>1987</td>
</tr>
<tr>
<td>PowerPC</td>
<td>32</td>
<td>load-store</td>
<td>1992</td>
</tr>
<tr>
<td>DEC Alpha</td>
<td>32</td>
<td>load-store</td>
<td>1992</td>
</tr>
<tr>
<td>HP/Intel IA-64</td>
<td>128</td>
<td>load-store</td>
<td>2001</td>
</tr>
<tr>
<td>AMD64 (EMT64)</td>
<td>16</td>
<td>register-memory</td>
<td>2003</td>
</tr>
</tbody>
</table>
# Typical Memory Addressing Modes

<table>
<thead>
<tr>
<th>Addressing Mode</th>
<th>Sample Instruction</th>
<th>Meaning</th>
</tr>
</thead>
<tbody>
<tr>
<td>Immediate</td>
<td>Add R4, #3</td>
<td>Regs[R4] ← Regs[R4] + 3</td>
</tr>
<tr>
<td>Absolute</td>
<td>Add R1, (1001)</td>
<td>Regs[R1] ← Regs[R1] + Mem[1001]</td>
</tr>
<tr>
<td>Memory indirect</td>
<td>Add R1, @ (R3)</td>
<td>Regs[R1] ← Regs[R1] + Mem[Mem[Regs[R3]]]</td>
</tr>
<tr>
<td>Autoincrement</td>
<td>Add R1, (R2) +</td>
<td>Regs[R1] ← Regs[R1] + Mem[Regs[R2]]</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Regs[R2] ← Regs[R2] + d</td>
</tr>
<tr>
<td>Autodecrement</td>
<td>Add R1, - (R2)</td>
<td>Regs[R2] ← Regs[R2] - d</td>
</tr>
<tr>
<td>Scaled</td>
<td>Add R1, 100 (R2) [R3]</td>
<td>Regs[R1] ← Regs[R1] + Mem[100 + Regs[R2] + Regs[R3] * d]</td>
</tr>
</tbody>
</table>

For GPR ISAs
Addressing Modes Usage Example

For 3 programs running on VAX ignoring direct register mode:

- **Displacement**: 42% avg, 32% to 55%
- **Immediate**: 33% avg, 17% to 43%
- **Register deferred (indirect)**: 13% avg, 3% to 24%
- **Scaled**: 7% avg, 0% to 16%
- **Memory indirect**: 3% avg, 1% to 6%
- **Misc**: 2% avg, 0% to 3%

75% displacement & immediate
88% displacement, immediate & register indirect.

Observation: In addition Register direct, Displacement, Immediate, Register Indirect addressing modes are important.

CISC to RISC observation
(fewer addressing modes simplify CPU design)
Displacement Address Size Example

Avg. of 5 SPECint92 programs v. avg. 5 SPECfp92 programs

1% of addresses > 16-bits
12 - 16 bits of displacement needed

CISC to RISC observation
# Operation Types in The Instruction Set

<table>
<thead>
<tr>
<th>Operator Type</th>
<th>Examples</th>
</tr>
</thead>
<tbody>
<tr>
<td>Arithmetic and logical</td>
<td>Integer arithmetic and logical operations: add, or</td>
</tr>
<tr>
<td>Data transfer</td>
<td>Loads-stores (move on machines with memory addressing)</td>
</tr>
<tr>
<td>Control</td>
<td>Branch, jump, procedure call, and return, traps.</td>
</tr>
<tr>
<td>System</td>
<td>Operating system call, virtual memory management instructions</td>
</tr>
<tr>
<td>Floating point</td>
<td>Floating point operations: add, multiply.</td>
</tr>
<tr>
<td>Decimal</td>
<td>Decimal add, decimal multiply, decimal to character conversion</td>
</tr>
<tr>
<td>String</td>
<td>String move, string compare, string search</td>
</tr>
<tr>
<td>Media</td>
<td>The same operation performed on multiple data (e.g Intel MMX, SSE)</td>
</tr>
</tbody>
</table>
### Instruction Usage Example:
#### Top 10 Intel X86 Instructions

<table>
<thead>
<tr>
<th>Rank</th>
<th>Instruction</th>
<th>Integer Average</th>
<th>Percent total executed</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>load</td>
<td>22%</td>
<td></td>
</tr>
<tr>
<td>2</td>
<td>conditional branch</td>
<td>20%</td>
<td></td>
</tr>
<tr>
<td>3</td>
<td>compare</td>
<td>16%</td>
<td></td>
</tr>
<tr>
<td>4</td>
<td>store</td>
<td>12%</td>
<td></td>
</tr>
<tr>
<td>5</td>
<td>add</td>
<td>8%</td>
<td></td>
</tr>
<tr>
<td>6</td>
<td>and</td>
<td>6%</td>
<td></td>
</tr>
<tr>
<td>7</td>
<td>sub</td>
<td>5%</td>
<td></td>
</tr>
<tr>
<td>8</td>
<td>move register-register</td>
<td>4%</td>
<td></td>
</tr>
<tr>
<td>9</td>
<td>call</td>
<td>1%</td>
<td></td>
</tr>
<tr>
<td>10</td>
<td>return</td>
<td>1%</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Total</td>
<td>96%</td>
<td></td>
</tr>
</tbody>
</table>

Observation: Simple instructions dominate instruction usage frequency.

---

CISC to RISC observation
Instruction Set Encoding

Considerations affecting instruction set encoding:

- To have as many registers and addressing modes as possible.
- The Impact of the size of the register and addressing mode fields on the average instruction size and on the average program.
- To encode instructions into lengths that will be easy to handle in the implementation. On a minimum to be a multiple of bytes.

- **Fixed length encoding**: Faster and easiest to implement in hardware. e.g. Simplifies design of pipelined CPUs
- **Variable length encoding**: Produces smaller instructions.
- Hybrid encoding.

CISC to RISC observation
# Three Examples of Instruction Set Encoding

<table>
<thead>
<tr>
<th>Operations &amp; no of operands</th>
<th>Address specifier 1</th>
<th>Address field 1</th>
<th>Address specifier n</th>
<th>Address field n</th>
</tr>
</thead>
</table>

## Variable: VAX (1-53 bytes)

<table>
<thead>
<tr>
<th>Operation</th>
<th>Address field 1</th>
<th>Address field 2</th>
<th>Address field 3</th>
</tr>
</thead>
</table>

## Fixed: MIPS, PowerPC, SPARC (Each instruction is 4 bytes, e.g RISC ISAs)

<table>
<thead>
<tr>
<th>Operation</th>
<th>Address Specifier</th>
<th>Address field</th>
</tr>
</thead>
</table>

<table>
<thead>
<tr>
<th>Operation</th>
<th>Address Specifier 1</th>
<th>Address Specifier 2</th>
<th>Address field</th>
</tr>
</thead>
</table>

<table>
<thead>
<tr>
<th>Operation</th>
<th>Address Specifier</th>
<th>Address field 1</th>
<th>Address field 2</th>
</tr>
</thead>
</table>

## Hybrid: IBM 360/370, Intel 80x86
Example CISC ISA:  
**Motorola 680X0**

18 addressing modes:
- Data register direct.
- Address register direct.
- Immediate.
- Absolute short.
- Absolute long.
- Address register indirect.
- Address register indirect with postincrement.
- Address register indirect with predecrement.
- Address register indirect with displacement.
- Address register indirect with index (8-bit).
- Address register indirect with index (base).
- Memory indirect postindexed.
- Memory indirect preindexed.
- Program counter indirect with index (8-bit).
- Program counter indirect with index (base).
- Program counter indirect with displacement.
- Program counter memory indirect postindexed.
- Program counter memory indirect preindexed.

GPR ISA (Register-Memory)

**Operand size:**
- Range from 1 to 32 bits, 1, 2, 4, 8, 10, or 16 bytes.

**Instruction Encoding:**
- Instructions are stored in 16-bit words.
- The smallest instruction is 2- bytes (one word).
- The longest instruction is 5 words (10 bytes) in length.

2 Bytes → 10 Bytes
Example CISC ISA:

Intel IA-32, X86 (80386)

12 addressing modes:

- Register.
- Immediate.
- Direct.
- Base.
- Base + Displacement.
- Index + Displacement.
- Scaled Index + Displacement.
- Based Index.
- Based Scaled Index.
- Based Index + Displacement.
- Based Scaled Index + Displacement.
- Relative.
- Can be 8, 16, 32, 48, 64, or 80 bits long.
- Also supports string operations.

GPR ISA (Register-Memory)

Operand sizes:

Instruction Encoding:

- The smallest instruction is one byte.
- The longest instruction is 12 bytes long.
- The first bytes generally contain the opcode, mode specifiers, and register fields.
- The remainder bytes are for address displacement and immediate data.

One Byte → 12 Bytes
Example RISC ISA:

HP Precision Architecture,
HP PA-RISC

7 addressing modes:

- Register
- Immediate
- Base with displacement
- Base with scaled index and displacement
- Predecrement
- Postincrement
- PC-relative

Operand sizes:

- Five operand sizes ranging in powers of two from 1 to 16 bytes.

Instruction Encoding:

- Instruction set has 12 different formats.
- All are 32 bits (4 bytes) in length.
RISC ISA Example:

MIPS R3000 (32-bits)

Instruction Categories:
- Load/Store.
- Computational.
- Jump and Branch.
- Floating Point (using coprocessor).
- Memory Management.
- Special.

Instruction Encoding: 3 Instruction Formats, all 32 bits wide (4 bytes).

R

<table>
<thead>
<tr>
<th>OP</th>
<th>rs</th>
<th>rt</th>
<th>rd</th>
<th>sa</th>
<th>funct</th>
</tr>
</thead>
</table>

I

<table>
<thead>
<tr>
<th>OP</th>
<th>rs</th>
<th>rt</th>
<th>immediate</th>
</tr>
</thead>
</table>

J

<table>
<thead>
<tr>
<th>OP</th>
<th>jump target</th>
</tr>
</thead>
</table>

5 Addressing Modes:
- Register direct (arithmetic).
- Immediate (arithmetic).
- Base register + immediate offset (loads and stores).
- PC relative (branches).
- Pseudodirect (jumps)

Operand Sizes:
- Memory accesses in any multiple between 1 and 4 bytes.

Registers

- R0 - R31
- PC
- HI
- LO

Load-Store GPR

CMPE550 - Shaaban

(Used as target ISA for CPU design in 350)
An Instruction Set Example: MIPS64

- A RISC-type 64-bit instruction set architecture based on instruction set design considerations of chapter 2:
  - Use general-purpose registers with a load/store architecture to access memory.
  - Reduced number of addressing modes: displacement (offset size of 16 bits), immediate (16 bits).
  - Data sizes: 8 (byte), 16 (half word), 32 (word), 64 (double word) bit integers and 32-bit or 64-bit IEEE 754 floating-point numbers.
  - Use fixed instruction encoding (32 bits) for performance.
  - 32, 64-bit general-purpose integer registers GPRs, R0, ..., R31. R0 always has a value of zero.
  - Separate 32, 64-bit floating point registers FPRs: F0, F1 ... F31. When holding a 32-bit single-precision number the upper half of the FPR is not used.

64-bit version of 32-bit MIPS ISA used in 350 4th Edition in Appendix B (3rd Edition: Chapter 2)
### MIPS64 Instruction Format

#### I - type instruction

<table>
<thead>
<tr>
<th>Opcode</th>
<th>rs</th>
<th>rt</th>
<th>Immediate</th>
</tr>
</thead>
</table>

Encodes: Loads and stores of bytes, words, half words. All immediates (rt ← rs op immediate)

Conditional branch instructions

Jump register, jump and link register (rs = destination, immediate = 0)

#### R - type instruction

<table>
<thead>
<tr>
<th>Opcode</th>
<th>rs</th>
<th>rt</th>
<th>rd</th>
<th>shamt</th>
<th>func</th>
</tr>
</thead>
</table>

Register-register ALU operations: rd ← rs func rt  
Function encodes the data path operation:  
Add, Sub ..  
Read/write special registers and moves.

#### J - Type instruction

<table>
<thead>
<tr>
<th>Opcode</th>
<th>Offset added to PC</th>
</tr>
</thead>
</table>

Jump and jump and link. Trap and return from exception
MIPS Addressing Modes/Instruction Formats

- All instructions 32 bits wide

**Register (direct)**

<table>
<thead>
<tr>
<th>First Operand</th>
<th>Second Operand</th>
<th>Destination</th>
</tr>
</thead>
<tbody>
<tr>
<td>op rs rt rd</td>
<td></td>
<td>register</td>
</tr>
</tbody>
</table>

**Immediate**

<table>
<thead>
<tr>
<th>op rs rt immed</th>
</tr>
</thead>
</table>

**Displacement: Base+index**

<table>
<thead>
<tr>
<th>op rs rt immed</th>
</tr>
</thead>
</table>

**PC-relative**

<table>
<thead>
<tr>
<th>op rs rt immed</th>
</tr>
</thead>
</table>

- Branches
- Memory

Pseudodirect Addressing for jumps (J-Type) not shown here

CMPE550 - Shaaban
### MIPS64 Instructions: Load and Store

<table>
<thead>
<tr>
<th>Instruction</th>
<th>Description</th>
<th>Source</th>
<th>Destinations</th>
</tr>
</thead>
<tbody>
<tr>
<td>LD R1, 30(R2)</td>
<td>Load double word</td>
<td>Regs[R1] ←&lt;sub&gt;64&lt;/sub&gt; Mem[30+Regs[R2]]</td>
<td>Mem[30+Regs[R2]]</td>
</tr>
<tr>
<td>LW R1, 60(R2)</td>
<td>Load word</td>
<td>Regs[R1] ←&lt;sub&gt;64&lt;/sub&gt; (Mem[60+Regs[R2]])&lt;sup&gt;32&lt;/sup&gt; #&lt;sup&gt;2&lt;/sup&gt;</td>
<td>Mem[60+Regs[R2]]</td>
</tr>
<tr>
<td>LB R1, 40(R3)</td>
<td>Load byte</td>
<td>Regs[R1] ←&lt;sub&gt;64&lt;/sub&gt; (Mem[40+Regs[R3]])&lt;sup&gt;56&lt;/sup&gt; #&lt;sup&gt;2&lt;/sup&gt;</td>
<td>Mem[40+Regs[R3]]</td>
</tr>
<tr>
<td>LBU R1, 40(R3)</td>
<td>Load byte unsigned</td>
<td>Regs[R1] ←&lt;sub&gt;64&lt;/sub&gt; 0&lt;sup&gt;56&lt;/sup&gt; #&lt;sup&gt;2&lt;/sup&gt; Mem[40+Regs[R3]]</td>
<td>Mem[40+Regs[R3]]</td>
</tr>
<tr>
<td>LH R1, 40(R3)</td>
<td>Load half word</td>
<td>Regs[R1] ←&lt;sub&gt;64&lt;/sub&gt; (Mem[40+Regs[R3]])&lt;sup&gt;48&lt;/sup&gt; #&lt;sup&gt;2&lt;/sup&gt;</td>
<td>Mem[40 + Regs[R3]] #&lt;sup&gt;2&lt;/sup&gt; Mem[41+Regs[R3]]</td>
</tr>
<tr>
<td>L.S F0, 50(R3)</td>
<td>Load FP single</td>
<td>Regs[F0] ←&lt;sub&gt;64&lt;/sub&gt; Mem[50+Regs[R3]] #&lt;sup&gt;2&lt;/sup&gt; 0&lt;sup&gt;32&lt;/sup&gt;</td>
<td>Mem[50+Regs[R3]]</td>
</tr>
<tr>
<td>L.D F0, 50(R2)</td>
<td>Load FP double</td>
<td>Regs[F0] ←&lt;sub&gt;64&lt;/sub&gt; Mem[50+Regs[R2]]</td>
<td>Mem[50+Regs[R2]]</td>
</tr>
<tr>
<td>SD R3, 500(R4)</td>
<td>Store double word</td>
<td>Mem [500+Regs[R4]] ←&lt;sub&gt;64&lt;/sub&gt; Reg[R3]</td>
<td>Reg[R3]</td>
</tr>
<tr>
<td>SW R3, 500(R4)</td>
<td>Store word</td>
<td>Mem [500+Regs[R4]] ←&lt;sub&gt;32&lt;/sub&gt; Reg[R3]</td>
<td>Reg[R3]</td>
</tr>
<tr>
<td>S.S F0, 40(R3)</td>
<td>Store FP single</td>
<td>Mem [40, Regs[R3]] ←&lt;sub&gt;32&lt;/sub&gt; Regs[F0] 0...31</td>
<td>Regs[F0]</td>
</tr>
<tr>
<td>S.D F0, 40(R3)</td>
<td>Store FP double</td>
<td>Mem[40+Regs[R3]] ←&lt;sub&gt;64&lt;/sub&gt; Regs[F0]</td>
<td>Regs[F0]</td>
</tr>
<tr>
<td>SH R3, 502(R2)</td>
<td>Store half</td>
<td>Mem[502+Regs[R2]] ←&lt;sub&gt;16&lt;/sub&gt; Regs[R3] 48...63</td>
<td>Regs[R3]</td>
</tr>
<tr>
<td>SB R2, 41(R3)</td>
<td>Store byte</td>
<td>Mem[41 + Regs[R3]] ←&lt;sub&gt;8&lt;/sub&gt; Regs[R2] 56...63</td>
<td>Regs[R2]</td>
</tr>
</tbody>
</table>

8 bytes = 64 bit = Double Word
MIPS64 Instructions: Integer Arithmetic/Logical

DADDU R1, R2, R3  Add unsigned  \( \text{Regs}[R1] \leftarrow \text{Regs}[R2] + \text{Regs}[R3] \)

DADDI R1, R2, #3  Add immediate  \( \text{Regs}[R1] \leftarrow \text{Regs}[R2] + 3 \)

LUI R1, #42  Load upper immediate  \( \text{Regs}[R1] \leftarrow \text{0}^{32} \text{##42 ##} \text{0}^{16} \)

DSLL R1, R2, #5  Shift left logical  \( \text{Regs}[R1] \leftarrow \text{Regs}[R2] \ll 5 \)

DSLTI R1, R2, R3  Set less than  if \( (\text{regs}[R2] < \text{Regs}[R3]) \)  \( \text{Regs}[R1] \leftarrow 1 \text{ else } \text{Regs}[R1] \leftarrow 0 \)
### MIPS64 Instructions: Control-Flow

<table>
<thead>
<tr>
<th>Instruction</th>
<th>Description</th>
<th>Code Snippet</th>
</tr>
</thead>
<tbody>
<tr>
<td>J name</td>
<td>Jump</td>
<td>PC_{36..63} ← name</td>
</tr>
<tr>
<td>JAL name</td>
<td>Jump and link</td>
<td>Regs[31] ← PC+4; PC_{36..63} ← name; ((PC+4)-2^{27}) ≤ name &lt; ((PC + 4) + 2^{27})</td>
</tr>
<tr>
<td>JALR R2</td>
<td>Jump and link register</td>
<td>Regs[R31] ← PC+4; PC ← Regs[R2]</td>
</tr>
<tr>
<td>JR R3</td>
<td>Jump register</td>
<td>PC ← Regs[R3]</td>
</tr>
<tr>
<td>BEQZ R4, name</td>
<td>Branch equal zero</td>
<td>if (Regs[R4] ==0) PC ← name; ((PC+4) -2^{17}) ≤ name &lt; ((PC+4) + 2^{17})</td>
</tr>
<tr>
<td>+ BEQ, BNE</td>
<td></td>
<td></td>
</tr>
<tr>
<td>BNEZ R4, Name</td>
<td>Branch not equal zero</td>
<td>if (Regs[R4] != 0) PC ← name; ((PC+4) -2^{17}) ≤ name &lt; ((PC +4) + 2^{17})</td>
</tr>
<tr>
<td>MOVZ R1,R2,R3</td>
<td>Conditional move if zero</td>
<td>if (Regs[R3] ==0) Regs[R1] ← Regs[R2]</td>
</tr>
</tbody>
</table>

| Conditional instruction example |
MIPS64 Loop Example
(with Floating Point Operations)

For the loop:

\[
\text{for (i=1000; i>0; i=i-1) } \\
x[i] = x[i] + s;
\]

The straightforward MIPS64 assembly code is given by:

```
Loop:  L.D             F0, 0 (R1)           ;F0=array element
ADD.D        F4, F0, F2           ;add scalar in F2 (constant S)
S.D               F4, 0(R1)            ;store result
DADDUI     R1, R1, # -8        ;decrement pointer 8 bytes
BNE             R1, R2,Loop      ;branch R1!=R2
```

R1 initially points here
R2 points here

R1 is initially the address of the element with highest address.
8(R2) is the address of the last element to operate on.

\( X[\cdot] \) array of double-precision floating-point numbers (8-bytes each)

(Example from Chapter 2.2, will use later to illustrate loop unrolling)
The Role of Compilers

The Structure of Recent Compilers:

Dependencies

- Language dependent
- Machine dependent

- Somewhat Language dependent largely machine independent

- Small language dependencies
  - Machine dependencies slight (e.g., register counts/types)

- Highly machine dependent
  - Language independent

Function:

- Transform Language to Common intermediate form

- For example, procedure inlining and loop transformations
  - e.g., loop unrolling
  - Loop parallelization
  - Symbolic loop unrolling

- Include global and local optimizations + register allocation

- Detailed instruction selection and machine-dependent optimizations; may include or be followed by assembler

\[ T = I \times CPI \times C \]
Change in instruction count executed (I) for the programs lucas and mcf from SPEC2000 as compiler optimizations vary.

\[ T = I \times CPI \times C \]